

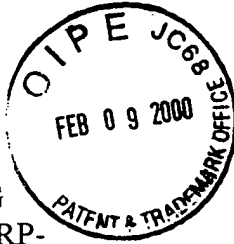
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Y. MUMFORD

Application No.: 09/436,741

Filed: November 9, 1999

For: PERMANENT LOCKING  
MECHANISM FOR SHARP-  
INSTRUMENT SAFETY GUARD



Group Art Unit: 3734

Examiner: Unassigned

Attorney Docket No.: 9755-009

*Handwritten signature/initials*

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97 AND § 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Applicant's duty of disclosure under 37 C.F.R. § 1.97 and 1.98, enclosed is a Form PTO-1449 which lists 6 references in reverse chronological order, copies of which are enclosed for the Examiner's convenience.

It is respectfully requested that these references be made of record in this application by the Examiner's completion and return of the Form PTO-1449.

No fee is believed to be due for this submission, since it is being made before an initial Office Action on the merits of the above-identified application. Should any fee be required, however, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Date February 9, 2000

Respectfully submitted,

*Handwritten signature of Paul D. Bianco*

PAUL D. BIANCO

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29,166

For Stephen J. Harbulak

(Reg. No.)

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Enclosures

*Vertical stamp: RECEIVED FEB 10 2000*